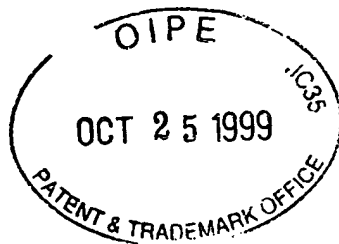


480.18-1



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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of )

DIETER C. GRUENERT, ET AL. )

Serial No.: 09/392,682 )

Filed: September 9, 1999 )

For: GENE THERAPY BY SMALL FRAGMENT )  
HOMOLOGOUS REPLACEMENT )

Group No. Unassigned

Examiner: Unassigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL**

Enclosed for filing please find the following documents:

1. Transmittal Letter;
2. Notification of change of Attorney/Firm Name;
3. Request for Corrected Filing Receipt; and
4. Postcard.

If fees are required for the filing of these documents,  
Commissioner is authorized to charge such fees to Deposit Account  
16-1331.

Respectfully submitted,

Date: October 19, 1999

  
\_\_\_\_\_  
Hana VERNY (Reg. No. 30,518)

PETERS, VERNY, JONES & BIKŠA, LLP  
385 Sherman Avenue, Suite 6  
Palo Alto, CA 94306  
Telephone No. (650) 324-1677  
Facsimile No. (650) 324-1678

Attorney Docket No.: 480.18-4 (HV)

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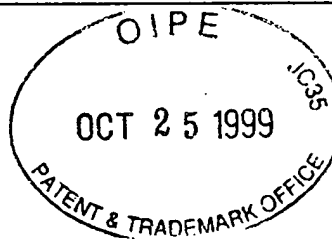
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/392,682	09/09/99	1632	\$458.00	480.18-4	18	7	5

HANA DOLEZALOVA  
PHILLIPS MOORE LEMPION & FINLEY  
385 SHERMAN AVENUE STE 6  
PALO ALTO CA 94306-1840



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) DEITER C. GRUENERT, MILL VALLEY, CA; KARL KUNZELMANN,  
WILDTAL, FED REP GERMANY.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/409,544 03/24/95 ABN  
WHICH IS A CON OF 07/933,471 08/21/92 ABN

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/06/99 \*\* SMALL ENTITY \*\*  
TITLE  
GENE THERAPY BY SMALL FRAGMENT HOMOLOGOUS REPLACEMENT  
PRELIMINARY CLASS: 800

PETERS VERNY  
JONES & BIKSA

OCT 12 1999

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DATA ENTRY BY: TUTT, TONDENICA

TEAM: 01 DATE: 10/07/99

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100


(See reverse for new important information)

A Corrected Filing Receipt is respectfully requested.

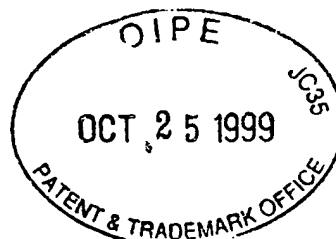
In any event that fees are required with filing of these documents, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 16-1331.

Respectfully submitted,

Date: October 19, 1999

  
\_\_\_\_\_  
HANA VERNY  
Reg. No.: 30,518  
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Facsimile No.: (415) 324-1678  
Atty. Docket No.: 480.18-4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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DIETER C. GRUENERT, ET AL. )

) Group No. Unassigned

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) Examiner: Unassigned

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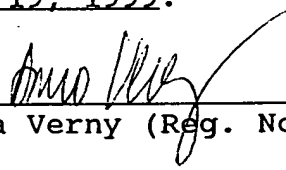
For: GENE THERAPY BY SMALL FRAGMENT )  
HOMOLOGOUS REPLACEMENT )

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Application Processing  
Division's Customer Correction Branch

Sir:

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 19, 1999.

  
\_\_\_\_\_  
Hana VERNY (Reg. No. 30,518)

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data which is incorrectly entered:

Error is in the Attorney/Firm Name. A Notification of Change of Attorney/Firm Name has been filed.

Please correction the filing receipt to read as follows:

**HANA VERNY**

**PETERS, VERNY, JONES & BIKSA, LLP**